

User Identification Request Form

Firm Name: Peregrine Financial Group, Inc.
Address : 311 W. Monroe Suite 1300
Address : Chicago, IL 60606

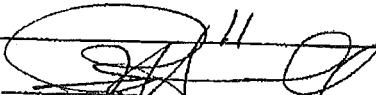
Request for User Identification To Submit Electronic Filings

Russell Wasendorf, Sr., being a person duly authorized to sign the financial reports and to provide the oath or affirmation regarding the information contained therein in accordance with any applicable Commodity Futures Trading Commission ("CFTC") or other federal regulatory agency regulations, request the issuance of a User Identification (a "User ID") for the purpose of filing financial reports and submitting certain regulatory notices on behalf of the above firm.

I acknowledge that the use of the User ID assigned to me represents a substitute for my manual signature. I will immediately notify, as applicable, my designated self regulatory organization, designated examination authority the CFTC or any other applicable federal regulatory agency if I am no longer associated with the firm, or if I believe my User ID or password is no longer confidential.

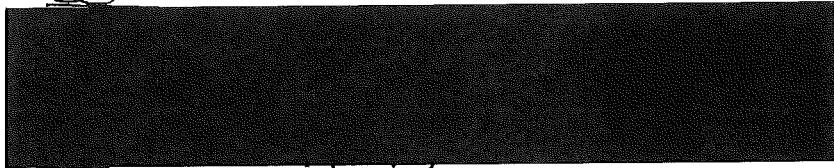
I further acknowledge that, with respect to the electronic submission of financial reports and supplemental information, the use of my User ID is a representation by me in accordance with any applicable CFTC or other federal regulatory agency regulations that, to the best of my knowledge and belief, all information contained in such reports and supplemental information is true, correct, and complete. I understand that any intentional misstatements or omissions of facts may constitute federal criminal violations (see, e.g., 18 U.S.C. 1001).

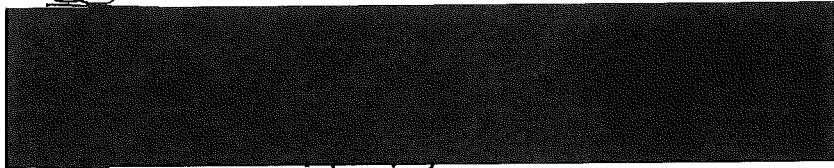
Signed the 11 day of December, 2009

Manual Signature: 

Type or Print Name: Russell Wasendorf, Sr

Title: CEO

Telephone Number: 

E-Mail Address: 

FAX Number: 

Firm NFA ID#: 0232217

Firm Taxpayer ID#: 

**STANDARD FORM TO CONFIRM ACCOUNT
BALANCE INFORMATION WITH FINANCIAL INSTITUTIONS**

ORIGINAL

To be mailed to accountant

Financial Institution's Name and Address [All:]
US Bank
P.O. Box 708
Cedar Falls, IA 50613-0030

Peregrine Financial Group, Inc.

CUSTOMER NAME

We have provided to our accountants the following information as of the close of business on 31-Mar-11 regarding our deposit and loan balances. Please confirm the accuracy of the information, noting any exceptions to the information provided. If the balances have been left blank, please complete this form by furnishing the balance in the appropriate space below.* Although we do not request nor expect you to conduct a comprehensive, detailed search of your records, if during the process of completing this confirmation additional information about other deposit and loan accounts we may have with you comes to your attention, please include such information below. Please use the enclosed envelope to return the form directly to our accountants.

1. At the close of business on the date listed above, our records indicated the following deposit balance(s):

ACCOUNT NAME	ACCOUNT NO.	INTEREST RATE	BALANCE*
Peregrine Financial Group, Inc. Customer Segregated Account	[REDACTED] 1845	-	\$ 218,650,550.96

2. We were directly liable to the financial institution for loans at the close of business on the date listed above as follows:

ACCOUNT NO./DESCRIPTION	BALANCE*	DATE DUE	INTEREST RATE	DATE THROUGH WHICH INTEREST IS PAID	DESCRIPTION OF COLLATERAL

(Customer's Authorized Signature)

5.13.11

(Date)

The information presented above by the customer is in agreement with our records. Although we have not conducted a comprehensive, detailed search of our records, no other deposit or loan accounts have come to our attention except as noted below.

(Financial Institution Authorized Signature)

5-16-11

(Date)

Asst Relationship Manager
(Title)

EXCEPTIONS AND/OR COMMENTS

Please return this form directly to our accountants:

[All:]

NATIONAL FUTURES ASSOCIATION
300 S Riverside Plaza, Suite 1800
Chicago, IL 60606
FAX #312.559.3572

* Ordinarily, balances are intentionally left blank if they are not available at the time the form is prepared.



U.S. Bank National Association
PO Box 708
Cedar Falls, Iowa 50613-0030
4217 TRN 4830 Y STOP

Page 1 of 2

Statement Period
03/01/11 through 03/31/11

Primary Account Number
1845

To Contact U.S. Bank
By Phone: 1-800-347-7827
Internet: usbank.com

Bank Statement

Some banks promise great service. U.S. Bank guarantees it!

000038698 1 AV 0.335 108461020372347 P
PEREGRINE FINANCIAL GROUP INC
CUSTOMER SEGREGATED ACCT
1 PEREGRINE WAY
CEDAR FALLS IA 50613-4707



Bank Information
BK: 2081
BR: 4217 CYC: 097
PR: 66 ENC: 000

Seg1.xls, SEG FUNDS, C11.

COMMERCIAL BANKING		DEPOSITS AND WITHDRAWALS	
Account Summary	Beginning Balance on 03/01/11.....	\$218,182,954.80	Average Daily Balance..... \$218,455,422.60
	Deposits and Other Additions.....	\$620,296.16	Number of Days in Statement Period..... 31
	Check Activity.....	\$0.00	Names on the account:
	Other Deductions and Withdrawals	\$62,700.00	PEREGRINE FINANCIAL GROUP INC CUSTOMER SEGREGATED FUNDS ACCT
	Ending Balance on 03/31/11.....	\$218,660,850.98	

Deposits and Other Additions	Date	Description of Transaction	Ref Nbr	Amount
	03/02/11	DEPOSIT	48028725	\$17,300.00
	03/02/11	DEPOSIT	41842057	\$74,800.00
	03/03/11	DEPOSIT	48181198	\$52,000.00
	03/04/11	DEPOSIT	48481488	\$7,850.00
	03/07/11	DEPOSIT	48870023	\$32,000.00
	03/08/11	DEPOSIT	45599845	\$4,000.00
	03/09/11	DEPOSIT	42476524	\$1,000.00
	03/10/11	DEPOSIT	42602171	\$5,000.00
	03/11/11	DEPOSIT	42847699	\$651.58
	03/11/11	DEPOSIT	42847698	\$1,150.00
	03/14/11	DEPOSIT	42920825	\$7,200.00
	03/15/11	DEPOSIT	43284695	\$88,000.00
	03/17/11	DEPOSIT	48286168	\$26,300.00
	03/18/11	DEPOSIT	46525266	\$35,200.00
	03/21/11	DEPOSIT	48723683	\$2,500.00
	03/21/11	DEPOSIT	46609221	\$71,950.00
	03/22/11	DEPOSIT	46856601	\$30,000.00
	03/24/11	DEPOSIT	47162919	\$6,500.00
	03/29/11	DEPOSIT	47687943	\$51,194.80
	03/31/11	DEPOSIT	42328828	\$27,000.00
		Total Deposits and Other Additions.....		\$620,296.16

Other Deductions and Withdrawals	Date	Description of Transaction	Ref Nbr	Amount
	03/18/11	WT OUT 1103180026016HALYARD CAP "BARRINGTON BK"	06002290	\$50,000.00
	03/07/11	DEPOSITED ITEM RETURNED.....	73431140	\$2,700.00
		Other Deductions and Withdrawals.....		\$62,700.00

**STANDARD FORM TO CONFIRM ACCOUNT
BALANCE INFORMATION WITH FINANCIAL INSTITUTIONS**

ORIGINAL

To be mailed to accountant

Financial Institution's Name and Address [] US Bank P.O. Box 706 Cedar Falls, IA 50613-0030

[Att: [] T []]

Peregrine Financial Group, Inc.

CUSTOMER NAME

We have provided to our accountants the following information as of the close of business on 28-Feb-10 regarding our deposit and loan balances. Please confirm the accuracy of the information, noting any exceptions to the information provided. If the balances have been left blank, please complete this form by furnishing the balance in the appropriate space below.* Although we do not request nor expect you to conduct a comprehensive, detailed search of your records, if during the process of completing this confirmation additional information about other deposit and loan accounts we may have with you comes to your attention, please include such information below. Please use the enclosed envelope to return the form directly to our accountants.

1. At the close of business on the date listed above, our records indicated the following deposit balance(s):

ACCOUNT NAME	ACCOUNT NO.	INTEREST RATE	BALANCE*
Peregrine Financial Group, Inc. Customer Segregated Account	1845		\$207,260,962.28

2. We were directly liable to the financial institution for loans at the close of business on the date listed above as follows:

ACCOUNT NO./DESCRIPTION	BALANCE*	DATE DUE	INTEREST RATE	DATE THROUGH WHICH INTEREST IS PAID	DESCRIPTION OF COLLATERAL
None					

(Customer's Authorized Signature)

26 Mar 2010

(Date)

The information presented above by the customer is in agreement with our records. Although we have not conducted a comprehensive, detailed search of our records, no other deposit or loan accounts have come to our attention except as noted below.

D [] S []
(Financial Institution Authorized Signature)

April 2, 2010

(Date)

D [] B []
Senior Vice President, Commercial Banking
(Title)

EXCEPTIONS AND/OR COMMENTS

N. A.

Please return this form directly to our accountants:

[Att: []]
NATIONAL FUTURES ASSOCIATION
300 S Riverside Plaza, Suite 1800
Chicago, IL 60606

* Ordinarily, balances are intentionally left blank if they are not available at the time the form is prepared.



U.S. Bank National Association
PO Box 708
Cedar Falls, Iowa 50613-0030
4217 TRN 4850 Y ST01

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Statement Period
02/01/10 through 02/28/10

Primary Account Number
1845

Bank Statement

Some banks promise great service. U.S. Bank guarantees it!



To Contact U.S. Bank
By Phone: 1-800-347-7827
Internet: usbank.com

000037958 1 AV 0.335 106481509045693 P
PEREGRINE FINANCIAL GROUP INC
CUSTOMER SEGREGATED ACCT
1 PEREGRINE WAY
CEDAR FALLS IA 50613-4707

Bank Information

BK: 2081
BR: 4217 CYC: 097
PR: 66 ENC: 000

Account Summary				Member FDIC
Beginning Balance on 02/01/10.....	\$206,970,409.43	Average Daily Balance.....	\$207,147,311.74	
Deposits and Other Additions.....\$290,552.85	Number of Days in Statement Period.....	28	
Check Activity.....\$0.00	Names on the account:		
Other Deductions and Withdrawals\$0.00	PEREGRINE FINANCIAL GROUP INC		
Ending Balance on 02/28/10.....	\$207,260,962.28	CUSTOMER SEGREGATED FUNDS ACCT		

Seg1.xlsx Table 2 Cell: D5

Deposits and Other Additions	Date	Description of Transaction	Ref Nbr	Amount
02/02/10	DEPOSIT		42758697\$10,765.00
02/03/10	DEPOSIT		44595851\$69,910.00
02/05/10	DEPOSIT		43277141\$6,000.00
02/09/10	DEPOSIT		43568014\$29,979.67
02/10/10	DEPOSIT		42444374\$6,000.00
02/11/10	DEPOSIT		45795129\$85,200.00
02/12/10	DEPOSIT		42670585\$16,000.00
02/19/10	DEPOSIT		42983813\$18,230.21
02/22/10	DEPOSIT		44609009\$1,165.00
02/22/10	DEPOSIT		41466521\$31,700.00
02/23/10	DEPOSIT		41790234\$13,552.97
02/26/10	DEPOSIT		41909191\$2,050.00
Total Deposits and Other Additions.....			\$290,552.85

Balance Summary	Date	Ending Balance	Date	Ending Balance	Date	Ending Balance
	02/01/10	\$206,970,409.43	02/10/10	\$207,093,064.10	02/22/10	\$207,245,359.31
	02/02/10	\$206,981,174.43	02/11/10	\$207,178,264.10	02/23/10	\$207,258,912.28
	02/03/10	\$207,051,084.43	02/12/10	\$207,194,264.10	02/26/10	\$207,260,962.28
	02/05/10	\$207,057,084.43	02/19/10	\$207,212,494.31	02/28/10	\$207,260,962.28
	02/09/10	\$207,087,064.10				



P.O. Box 1800
Saint Paul, Minnesota 55101-0800

4217 TRN 4850 Y ST01

Account Number:

1845

Statement Period:

Mar 1, 2011

through

Mar 31, 2011



Page 1 of 2



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PEREGRINE FINANCIAL GROUP INC
1 PEREGRINE WAY
CEDAR FALLS IA 50613-4707



To Contact U.S. Bank

24-Hour Business
Solutions:

1-800-673-3555

Telecommunications Device

for the Deaf:

1-800-685-5065

Internet:

usbank.com

INFORMATION YOU SHOULD KNOW

Outgoing US dollar payment orders to selected countries may be converted to the local beneficiary's currency at any point in the processing chain unless you instruct the Bank not to convert the currency. Please contact Wire Transfer Operations at 888-799-4737 (888-79-WIRES), option 3 to waive the conversion during the payment processing chain and direct all payments to be received in US Dollar.

PREMIUM BUSINESS CHECKING

Member FDIC

U.S. Bank National Association

Account Number [REDACTED] -1845

Account Summary

Items

Beginning Balance on Mar 1	\$	7,125,845.59
Customer Deposits	20	520,296.16
Other Withdrawals	13	464,805.39 -
Ending Balance on Mar 31, 2011	\$	7,181,336.36

Customer Deposits

Number	Date	Ref Number	Amount	Number	Date	Ref Number	Amount
Mar 2	7046028725	17,300.00		Mar 14	5942920825	7,200.00	
Mar 2	5941842057	74,600.00		Mar 15	5943284595	68,000.00	
Mar 3	6248181198	52,000.00		Mar 17	7048266168	25,300.00	
Mar 4	6248461458	7,850.00		Mar 18	6446525266	35,200.00	
Mar 7	6248670023	32,000.00		Mar 21	7048723683	2,500.00	
Mar 8	6445599545	4,000.00		Mar 21	6446609221	71,950.00	
Mar 9	5942476524	1,000.00		Mar 22	6446855601	30,000.00	
Mar 10	5942602171	5,000.00		Mar 24	6447152919	6,500.00	
Mar 11	5942847599	551.56		Mar 29	6447567943	51,194.60	
Mar 11	5942847596	1,150.00		Mar 31	6242328628	27,000.00	
Total Customer Deposits				\$	520,296.16		

Other Withdrawals

Date	Description of Transaction	Ref Number	Amount
Mar 14	Iowa Tax	1400000000	\$ 70.19-
Mar 18	Wire Debit REF002290 [REDACTED] BK TR 110318026015 ADVISORS NO ADDRESS GIVEN		50,000.00-
Mar 3	Electronic Funds Transfer To Account [REDACTED]		3,770.00-
Mar 3	Electronic Funds Transfer To Account [REDACTED]		50,000.00-
Mar 3	Electronic Funds Transfer To Account [REDACTED]		125,000.00-
Mar 11	Electronic Funds Transfer To Account 10311		8,000.00-
Mar 11	Electronic Funds Transfer To Account 10329		42,000.00-
Mar 22	Electronic Funds Transfer To Account 10311		22,000.00-
Mar 22	Electronic Funds Transfer To Account 10329		100,000.00-
Mar 29	Electronic Funds Transfer To Account 01140		57,000.00-
Mar 7	Deposited Item Returned	1000101062	2,700.00-
Mar 14	Analysis Service Charge	1400000000	265.20-



PEREGRINE FINANCIAL GROUP INC
1 PEREGRINE WAY
CEDAR FALLS IA 50613-4707

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Business Statement

1845

Statement Period:
Mar 1, 2011
through
Mar 31, 2011



Page 2 of 2

PREMIUM BUSINESS CHECKING

(CONTINUED)

Account Number [REDACTED]-1845

U.S. Bank National Association

Other Withdrawals (continued)

Date	Description of Transaction	Ref Number	Amount
Mar 18	Customer Withdrawal	6446525474	4,000.00-
Total Other Withdrawals		\$	464,805.39-

Balance Summary

Date	Ending Balance	Date	Ending Balance	Date	Ending Balance
Mar 2	7,217,745.59	Mar 10	7,138,125.59	Mar 21	7,245,641.76
Mar 3	7,090,975.59	Mar 11	7,089,827.15	Mar 22	7,153,641.76
Mar 4	7,098,825.59	Mar 14	7,096,691.76	Mar 24	7,160,141.76
Mar 7	7,128,125.59	Mar 15	7,164,691.76	Mar 29	7,154,336.36
Mar 8	7,132,125.59	Mar 17	7,189,991.76	Mar 31	7,181,336.36
Mar 9	7,133,125.59	Mar 18	7,171,191.76		

Balances only appear for days reflecting change.